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Attorney's Docket No: K0448/7007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : TANIDA et al.
Int'l Application No. : PCT/JP99/02546
Int'l Filing Date : 17 May 1999
For : SOLID PREPARATIONS FOR ORAL ADMINISTRATION OF
GENE-RELATED DRUGS
Examiner : Unknown
Art Unit : Unknown

Box PCT
Commissioner for Patents
Washington, D.C. 20231

**STATEMENT FILED PURSUANT TO THE DUTY OF
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

[X] This Information Disclosure Statement has been filed within three months of the filing date of the entry of the National Stage, as set forth in 37 C.F.R. §1.491, in an International application. No fee or certification is required.

PART II - Information Cited

A. [X] The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

B. [X] The Applicant hereby makes the following additional information of record in the above-identified application:

Some Japanese references (and one PCT reference) listed on the Form 1449 have corresponding US or PCT patents also listed on the 1449. The references are related as follows: Japanese reference B1 corresponds to PCT reference B2; PCT reference B4 corresponds to US

reference A1; Japanese reference B7 corresponds to US references A2 & A3; and Japanese reference B8 corresponds to reference A4.

Additionally, the following Japanese references have the first page of the corresponding PCT applications attached to the front: Reference B5 has WO97/10840 attached; reference B6 has WO95/18223 attached; and reference B9 has WO95/25800 attached to the front.

PART III: Explanation of Non-English Language References and Remarks Concerning Other Information Cited

The following is a concise explanation of the relevance of each non-English language reference listed on the attached form PTO-1449 (modified):

The following are remarks concerning the other information cited:

PART IV: Remarks

A copy of each of the above-identified information is enclosed unless otherwise indicated on the attached form PTO-1449 (modified). It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

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Docket No. K0448/7007
Dated: November 20, 2000